



Systematic Transfer Plan (STP)

ARN- 25741

ENROLMENT FORM (Please read instructions overleaf)

Existing Folio No.		Enrolment Form No.	
Name of the First / Sole Applicant		PAN No.*	
			or <input type="checkbox"/> Form 60 / 61 & Proof of Address attached
Name of the Guardian (in case of First / Sole Applicant is a minor)		PAN No.*	
			or <input type="checkbox"/> Form 60 / 61 & Proof of Address attached
Name of the Second Applicant		PAN No.*	
			or <input type="checkbox"/> Form 60 / 61 & Proof of Address attached
Name of the Third Applicant		PAN No.*	
			or <input type="checkbox"/> Form 60 / 61 & Proof of Address attached

* Mandatory for a transaction of or above Rs. 50,000 each. For more details on PAN see Instruction No. 16 overleaf.

STP DETAILS

	Transfer From (Transferor Scheme)	Transfer To (Transferee Scheme)
Name of Scheme		
Plan		
Option		
Frequency (Please <input checked="" type="checkbox"/> any one)	<input type="checkbox"/> Weekly STP	<input type="checkbox"/> Monthly STP <input type="checkbox"/> Quarterly STP
STP Date	Weekly STP 1st, 7th, 15th and 25th	Monthly and Quarterly STP (Please <input checked="" type="checkbox"/> any one only) <input type="checkbox"/> 1st of the month <input type="checkbox"/> 7th of the month <input type="checkbox"/> 15th of the month <input type="checkbox"/> 25th of the month
Enrolment Period	From DD MM YY To DD MM YY	
Amount of Transfer per Week / Month / Quarter	Fixed Amount Rs. _____	OR <input type="checkbox"/> Capital Appreciation
Receipt of Document(s) by E-Mail (Please <input checkbox"="" checked="" type="checkbox/>)</td> <td><input type="/> Account Statement <input type="checkbox"/> Quarterly Newsletter <input type="checkbox"/> Annual Report	E-Mail ID : _____	

DECLARATION	<p>Having read and understood the contents of the Offer Documents of the Scheme(s) of ABN AMRO Mutual Fund, I / We hereby apply to the Trustee of ABN AMRO Mutual Fund for units of the Scheme(s) and agree to abide by terms and conditions, rules and regulation of the Scheme(s). I / We have neither received nor been induced by any rebate or gifts, directly or indirectly in making this investment.</p> <p>I/We hereby declare that I am / we are not a US person, within the meaning of the United States Securities Act, 1933, as amended from time to time; and that I am / we are not applying on behalf of or as proxyholders of a person who is a US person.</p> <p>I / We hereby declare that I / We am / are authorised to make this investment in the above-mentioned Scheme and that the amount invested in the Scheme(s) is through legitimate sources only and does not involve and is not designed for the purpose of any contravention or evasion of any Act, Rules, Regulations, Notifications or Directions issued by any regulatory authority in India.</p> <p>Applicable to NRIs only :</p> <p>I / We confirm that I am / We are Non-Resident of Indian Nationality / Origin and I / We hereby confirm that the funds for subscription have been remitted from abroad through normal banking channels or from funds in my / our Non-Resident External / Ordinary Account / FCNR Account.</p> <p>If NRI, (please <input checkbox"="" checked="" type="checkbox/>) <input type="/> Repatriation basis <input type="checkbox"/> Non-Repatriation basis</p>	SIGNATURE(S)
	<p>_____</p> <p>First / Sole Applicant / Guardian</p>	
	<p>_____</p> <p>Second Applicant / Guardian</p>	
<p>_____</p> <p>Third Applicant / Guardian</p>		

ACKNOWLEDGEMENT SLIP (To be filled in by the Unit holder) ABN AMRO MUTUAL FUND

Systematic Transfer Plan (STP) Date : _____

Received from Mr./Ms./M/s. _____ 'STP' application for transfer of Units: **ISC Stamp & Signature**

From Scheme _____ Plan _____ Option _____

To Scheme _____ Plan _____ Option _____

Amount Rs. _____ per Week Month Quarter OR Capital Appreciation

STP - INSTRUCTIONS

1. The STP Enrolment Form should be completed in English and in Block Letters only. Please tick (✓) in the appropriate box (□), where boxes have been provided. To start a STP, the STP Enrolment Form, complete in all respects, must reach at least 14 days in advance at any of the Official Points of Transactions.
2. A single STP Enrolment Form can be used for one Scheme / Plan / Option only. Investor should use separate forms for more than one Scheme / Plan / Option.
3. Investors are advised to read the Offer Document(s) of the Transferee Scheme(s) carefully before investing. The Offer Document(s)/Key Information Memorandum(s) of the respective Scheme(s) are available with the ISCs / distributors.
4. Unit holders should note that unit holders' details and mode of holding (single, jointly, anyone or survivor) in the Transferee Scheme will be as per the existing folio number of the Transferor Scheme.
5. Currently, the Schemes eligible for the STP facility are as follows:

From Transferor Schemes	To Transferee Schemes
<ul style="list-style-type: none"> ● ABN AMRO Flexi Debt Fund (*AAFD*) ● ABN AMRO Floating Rate Fund (*AAFR*) ● ABN AMRO Long Term Floating Rate Fund (*AALTRF*) ● ABN AMRO Cash Fund (*AACF*) 	<ul style="list-style-type: none"> ● ABN AMRO Equity Fund (*AAEF*) ● ABN AMRO Opportunities Fund (*AAOF*) ● ABN AMRO Dividend Yield Fund (*AADYF*) ● ABN AMRO Tax Advantage Plan (*AATAP*) ● ABN AMRO Monthly Income Plan (*AAMIP*) ● ABN AMRO Flexi Debt Fund (*AAFD*)

6. STP is a facility wherein investors of AAADF, AAARF, AACF and AALTRF can opt to transfer a fixed amount or capital appreciation amount at regular intervals into AAEF, AAMIP, AAOF, AADYF, AATAP and AAADF.
7. STP offers unitholders the following two facilities:

- i) **Fixed Systematic Transfer Facility (FSTF)**
- ii) **Capital Appreciation Systematic Transfer Facility (CASTF)**

Both the Facilities will offer transfers at weekly, monthly and quarterly intervals. Unitholder is free to opt for any of the above Facilities and also choose the frequency of such transfers.

- i) **Fixed Systematic Transfer Facility (FSTF)** : An investor can issue a standing instruction to transfer sums at a Weekly / Monthly / Quarterly (calendar quarter) intervals to Plans / Options within select Schemes of ABN AMRO Mutual Fund. The investor has a choice between weekly, monthly and quarterly FSTF. The Transfer should be 1st, 7th, 15th and 25th of a month in the Weekly FSTF and as the 1st or 7th or 15th or 25th of the Monthly FSTF and as the 1st or 7th or 15th or 25th of the first month of quarter (e.g. 1st or 7th or 15th or 25th of January, April, July and October) in a Quarterly FSTF. Transfers must be for a minimum** amount of Rs. 1,000/- or in multiples of Re.1/- thereafter in Weekly and Monthly FSTF and Rs. 3,000/- and in multiples of Re.1/- in Quarterly FSTF. An investor will have to opt for a minimum of 6 installments under Weekly and Monthly STP and 2 installments under Quarterly STP.

In FSTF, in case there is no minimum amount (as specified above) available in the unitholder's account the transfer to the Transferee Scheme will not happen and the STP request of the unitholder will stand withdrawn.

- ii) **Capital Appreciation Systematic Transfer Facility (CASTF) #** : An investor can issue a standing instruction to transfer the entire capital appreciation from Transferor Scheme at Weekly / Monthly / Quarterly intervals to designated Scheme(s) of ABN AMRO Mutual Fund. The investor has a choice between Weekly, Monthly and Quarterly CASTF. The transfer date should be 1st, 7th, 15th and 25th of a month in the Weekly CASTF and as the 1st or 7th or 15th or 25th of the Monthly CASTF and as the 1st or 7th or 15th or 25th of the first month of Quarter (e.g. 1st, 7th, 15th or 25th of January, April, July and October) in a quarterly CASTF. Transfers must be for a minimum** amount of Rs. 1,000 in Weekly and Monthly CASTF and Rs. 3,000/- in Quarterly CASTF. An investor will have to opt for a minimum of 6 installments under Weekly and Monthly CASTF or 2 installments under Quarterly CASTF. Please note that no transfer will take place if there is no minimum appreciation amount and the STP request of the unitholder will stand withdrawn. The capital appreciation, if any, will be calculated from the enrolment date of the CASTF under the folio, till the first transfer date (e.g. if the unit holder has been allotted units on the 23rd of September and the date of enrolment for monthly CASTF is the 1st of November and the unit holder has opted for 15th of every month as the transfer date, capital appreciation, if any, will be calculated from the 1st of November to the 15th of November (first transfer date). Subsequent capital appreciation, if any, will be the capital appreciation between the previous CASTF date (where transfer has been processed) and the next CASTF date.

Not available in AATAP.

** In case of AATAP an investor under Weekly, Monthly and Quarterly FSTF must invest a minimum of Rs. 500 and in multiples of Rs. 500 thereafter. Further any transfer request received for an amount / value of units not in multiples of Rs. 500 shall be deemed as a transfer request for an amount which is equal to the nearest lower multiple of Rs. 500 and the balance amount, if any, shall remain invested in the Transferor Scheme.

8. A request for STP will be treated as a request for Redemption from / Subscription into the respective Option(s) of the Scheme(s), at the applicable NAV, subject to applicable Load.

9. Applicable Load Structure for STP

For Transfer from Transferor Scheme (AAADF / AAARF / AALTRF / AACF) to Transferee Scheme (AAEF / AAOF / AADYF / AATAP / AAMIP / AAADF) :

- **Transferor Scheme :**
Exit Load, if any is waived[^]
- **Transferee Scheme (AAEF, AAOF and AADYF) :**
Entry Load of 1% is payable[^]
Exit Load : In respect of each STP investment upto and including Rs. 5,00,000 in value (per folio, per due date, per option), an Exit Load of 1.25% is payable if redeemed on or before expiration of 24 months from the date of allotment of units under STP facility.

- **Transferee Scheme in case of AATAP :**

Entry Load of 1% is payable[^]

Exit Load : Nil

[^] In respect of transfer for an amount upto and including Rs. 5,00,000 in value (per folio, per due date, per option).

- **Transferee Scheme in case of AAMIP / AAADF :**

At applicable Load Structure as on the date of enrolment.

10. STP will be automatically terminated if all units are liquidated or withdrawn from the Transferor Scheme or pledged or upon receipt of intimation of death of the unit holder. A request for STP will be treated as a request for Redemption from / Subscription into the respective Option(s) / Plan(s) of the Scheme(s), at the applicable NAV, subject to applicable load. In case the transfer dates fall on a holiday or fall during a Book Closure period the next Business Day will be considered for this purpose. **If you decide to take-up this facility, you should be aware of the possibility, that you could erode your capital.**
11. The provision of '**Minimum Redemption Amount**' as specified in the offer document(s) of the respective designated Transferor Schemes and '**Minimum Application Amount**' specified in the offer document(s) of the respective designated Transferee Schemes will not be applicable for STP.
12. An account statement will be dispatched by mail or by e-mail (if opted by the unit holder) to the unit holder normally within 3 Business Days from the date of transaction indicating the new balance to the credit of the unit holder's account.
13. Investors could choose to terminate the STP by giving a written notice of at least 7 days in advance to the Official Points of Transactions.
14. The Trustee / AMC reserves the right to change / modify the terms of the STP. The above load structure will be in force till further notice. This load structure is subject to change and may be imposed / modified prospectively from time to time, as may be decided by the Trustee / AMC from time to time.
15. **PAN Information**
 - Investors are required to furnish the PAN along with a copy of a document *, evidencing the PAN.
 - If your investment is being jointly made with other co-applicants, the PAN for each of the co-applicants should be furnished along with a copy of a document *, evidencing the PAN for each of the co-applicant.
 - If you are investing as a Guardian on behalf of a minor, please furnish your PAN along with a copy of a document *, evidencing the PAN for the Guardian.
 - If you do not / or the co-applicant does not have a PAN, a completed declaration in Form 60 / 61 specified in the Income Tax Rules, giving the particulars of each transaction will have to be attached along with proof of address as mentioned in the Application Form and Form 60 / Form 61.
 - Applications, where the details of the documents submitted as evidence for PAN do not match with the Applicants / existing information available with ABN AMRO Mutual Fund, will be rejected.
 - Investors are required to submit Form 60 / 61 in duplicate (2 copies).

(* PAN Card / Refund Order / Assessment Order / any correspondence from the Income Tax Authority)

Applications not complying with the above requirements will be deemed to be incomplete and will be rejected.

For any further queries / correspondence, please contact :

Computer Age Management Services Pvt. Ltd.

UNIT : ABN AMRO Mutual Fund

Ground Floor, No. 178/10, Kodambakkam High Road, Opp. Hotel Palmgrove, Nungambakkam, Chennai - 600 034.

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